



“KNOW YOUR CUSTOMER” (KYC) QUESTIONNAIRE

Your company wishes to hold an account with DAPEEP GO Registry. In order to complete our appraisal concerning your application, we kindly ask you to answer the following questions about your company. Please note that DAPEEP can decide not to proceed with the admission process depending on the answers given in this questionnaire.

Corporate name (according to national company register)	
Country of registration	
Organisation number on national company register	
Trading name(s) (if trading under a different name to corporate name)	
Address of registration	
Phone	
Web site	
Legal representative (authorized to sign on behalf of the company)	

1. SUPPORTING DOCUMENTS

- 1.1. Please provide a copy of your audited annual report for the last 3 years (original document and a translation in English).
- 1.2. Please attach a business plan of your certificate trading activity over the next 3 years.

2. IDENTITY

2.1. What is your company type (please provide a copy of your licence document?)

- Credit institution
- Investment firm
- Other authorised or regulated financial institution
- Commercial firm (Utilities, industry)
- Municipal or regional supplier
- Broker
- Other, please fill in



2.2. When was your company founded?

2.3. Where was your company established?

2.4. Please indicate the number of employees that work for your company?

2.5. Please indicate in which European countries your company is active.

2.6. Please describe the main business of your company.

2.7. Who is your Independent Auditor? Please give us a contact and his/her details (phone, email)?

2.8. Is your company member of one or several professional associations? If yes, indicate the name and contact details of these associations. Since when are you member?

2.9. Please indicate name and domicile of your commercial bank.

3. **YOUR MOTIVATIONS**

3.1. Why does your company want to be a member of our market?

3.2. Will your activity in DAPEEP GO Registry be occasional, seasonal or permanent?

3.3. Is your company active in any other energy, commodities or financial markets? If yes, which ones? Since when?



3.4. Is your company active on any other certificate markets ? If yes, since when? Please list the official (legal) name and registered company number (if a natural person, then the address) of five (5) counterparts you are dealing with on these markets.

3.5. Is your company active on any other GO registries? If yes

Registry Domain	Designated Competent Body	Account Number	Registration Date

3.6. Please indicate :

- Your expertise in certificates (regulation, retail, production, trading)
 - Your assets in certificates (customers, certificate based energy supply contracts etc.)
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3.7. Do you trade for your own account or third party as well? If you trade for third party, please indicate their official (legal) name and registered company number or, if a natural person, then their address.

3.8. What do you expect your trading volumes to be in DAPEEP Registry?

Certificates (volumes in MWh)	
Annual	Monthly

4. **YOUR CAPABILITY TO INTERVENE**

4.1. What trading and associated systems will you be using in-house to support your activities?

4.2. Do you have facilities dedicated to trading?

4.3. Do you have Back Office team and Compliance measures in place? Please describe.



5. OTHER

5.1. Please make any other comments that you feel to be relevant to your application.

I declare in good faith that all information, answers and documents provided with this questionnaire are exact and authentic. I have omitted no important information that could have impact on the judgment made by DAPEEP on this demand.

I agree to answer any other questions that DAPEEP might have in the future to comply with its legal obligations.

Place: _____

Date: _____

Name and digital signature of the legal representative of the company: